

VIA FIRST CLASS MAIL

MAY 0 4 2009

Brian G. Svoboda Kate Sawyer Keane 607 Fourteenth Street, N.W. Washington, D.C. 20005

**RE:** MUR 6118

Bob Roggio for Congress
Alexander F. Smith, Treasurer

Dear Mr. Svoboda and Ms. Keane:

On November 5, 2008, the Federal Election Commission notified your clients, Bob Roggio for Congress ("Committee") and Alexander F. Smith, as treasurer, of a complaint alleging violations of certain sections of the Federal Election Campaign Act of 1971, as amended ("Act"). On April 9, 2009, based upon the information contained in the complaint, and information provided by your clients, the Commission decided to dismiss the complaint and closed its file in this matter.

Based on the information before the Commission, it appears that the Committee's failure to include the requisite disclaimers on their campaign billboards was a potential violation of 2 U.S.C. § 441d(a) and 11 C.F.R. § 110.11(b). The Commission cautions the Committee and its treasurer to take steps to ensure that its conduct is in compliance with the Act and Commission regulations.

Documents related to the case will be placed on the public record within 30 days. See Statement of Policy Regarding Disclosure of Closed Enforcement and Related Files, 68 Fed. Reg. 70,426 (Dec. 18, 2003). A copy of the dispositive General Counsel's Report is enclosed for your information.

For further information on policies and procedures involving the regulation of the Federal Election Campaign Act please refer to the Commission's website at <a href="https://www.fec.gov">www.fec.gov</a> or contact the Commission's Public Information Division at (202) 694-1100.

If you have any questions, please contact Frankie D. Hampton, the paralegal assigned to this matter, at (202) 694-1650.

Sincerely,

Thomasenia P. Duycan

General Counsel

BY:

Jeff S. Jordan Supervisory Attorney

Complaints Examination and

Legal Administration

Enclosure

General Counsel's Report

## BEFORE THE FEDERAL ELECTION COMMISSION 1 2 3 In the Matter of CASE CLOSURE UNDER THE 5 MUR 6118 **BOB ROGGIO FOR CONGRESS AND** ENFORCEMENT PRIORITY 6 7 ALEXANDRER SMITH. SYSTEM AS TREASURER 9 10 GENERAL COUNSEL'S REPORT 11 12 Under the Enforcement Priority System, matters that are low-rated 13 are forwarded to the Commission with a recommendation for diamiesal. The Commission has 14 15 determined that pursuing low-rated matters compared to other higher-rated matters on the 16 Enforcement docket warrants the exercise of its prosecutorial discretion to diamies these cases. The Office of General Counsel scored MUR 6118 as a low-rated matter. 17 In this metter, the complainants, Jim Gerlach and Jim Gerlach for Congress allege that 18 Bob Roggio for Congress and Alexander F. Smith, in his official capacity as treasurer (the 19 "Committee"), violated 2 U.S.C. § 441d(a) and 11 C.F.R. § 110.11(b) by falling to include 20 21 appropriate disclaimers on three types of purported campaign communications. Specifically, 22 complainents supplied photocopied samples of the following: a billboard stating, in part, "Bob Roggio. Democrats for Congress, Business Leader;" 23 a lawn sign asserting that "Gerlach Sold Us Out. Time to Vote Film Out;" and 24 a bumper sticker, which complainants claim was part of a pass production by the 25 respondents, stating "FireGerisch.com. Bob Roggio for Congress." 26 27 In its response, the Committee acknowledges that its campaign billboards lacked the 28 requisite disclaimers, albeit for a short period of time. According to the Committee, it 29 submitted a design for its campaign billboard to its vander on October 14, 2008, which 30 included the disclaimer "said for and authorized by Bob Rossio for Consuces." However, the 31

16

17

18

19

Case Closure Under EPS - MUR 6118 General Counsel's Report Page 2 of 3

Committee asserts that, due to a production error, the billboard manufactured by the vendor 1 left the disclaimer covered by other material. When the Committee became aware of the error, 2 3 on October 24, 2008, it states that it contacted the vendor, took down the billhourds a few days later, and replaced them with billboards containing the appropriate disclaimers. In response to 4 5 the allegation concerning the Committee's lawn sign, it stated that it did not pay for or 6 authorize the sign, nor did it have any knowledge of the sign prior to receiving the complaint. 7 Finally, the Committee points out that bumper stickers, such as those described in the 8 complaint, are exempt from the Commission's general disclaimer requirements as items upon 9 which disclaimers cannot conveniently be printed. See 11 C.F.R. § 110.11(f)(1)(i). Therefore, 10 the Committee claims that its bumper stickers did not violate the Commission's disclaimer 11 regulations. Accordingly, in light of the Committee's response to the allegations and the timely 12 13 corrective action taken on its billboards, and in furtherance of the Commission's priorities and resources, relative to other matters pending on the Enforcement dociset, the Office of General 14 15 Counsel believes that the Commission should exercise its prosecutorial discretion and dismiss

corrective action taken on its billboards, and in furtherance of the Commission's priorities and resources, relative to other matters pending on the Enforcement docket, the Office of General Counsel believes that the Commission should exercise its prosecutorial discretion and dismiss this matter. See Heckler v. Chang, 470 U.S. 821 (1985). Additionally, this Office recommends that the Committee and its treasurer, in his official capacity, be cautioned that their failure to include the requisite disclaimers on their campaign billboards was a potential violation of 2 U.S.C. § 441d(a) and 11 C.F.R. § 110.11(b).

M M Case Ciceare Under EPS - MUR 6118 General Counsel's Report Page 3 of 3

## **RECOMMENDATION**

- 2 The Office of General Counsel recommends that the Commission diamiss
- 3 MUR 6118, send a cautionary notification to Bob Roggio for Congress and Alexander F.
- 4 Smith, in his official capacity as tressurer, close the file, and approve the appropriate letters.

7 8 9

1

5

10

11

12

13

14 15

21

28 29

30

1/24/09

Thomasenia P. Duncan General Counsel

BY: <

Gregory R. Baler Special Counsel Completes Exemination

& Legal Administration

Jeff S./Jokian Supervisory Attorney

Complaints Examination

& Legal Administration

Ruth L. Heilizer

Attorney

Complaints Examination

& Legal Administration